





| OFFICE OF PATENTS AND PUBLICATIONS<br>APPLICANT'S INFORMATION<br>DISCLOSURE STATEMENT<br>(Form PTO-1449)   |    |  |      | Attorney Docket:<br>102790-38    |       | Serial No.<br>09/883,409 |                               |
|--|----|--|------|----------------------------------|-------|--------------------------|-------------------------------|
|  |    |  |      | Applicant:<br>Gautschi et al.    |       |                          |                               |
|  |    |  |      | Filing Date:<br>June 18, 2001    |       | Group:<br>1743           |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |      |                                  |       |                          |                               |
| Examiner's<br>Initial  |    | Document Number  | Date | Name                             | Class | Sub<br>Class             | Filing Date<br>If appropriate |
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| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |      |                                  |       |                          |                               |
|  |    | Document Number  | Date | Country                          | Class | Sub<br>Class             | Translation<br>Yes No         |
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| <b>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>  |    |  |      |                                  |       |                          |                               |
| MC   | A1 | B.T. Cho et al.: Tetrahedron: Asymmetry 10 (1999) 1843-1846, "A practical method for synthesis of terminal 1,2-diols in high enantiomeric excess via oxazaborolidine-catalyzed asymmetric reduction" |      |                                  |       |                          |                               |
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| EXAMINER:<br><i>M. Cole</i>  |    |  |      | DATE CONSIDERED:<br><i>11/04</i> |       |                          |                               |
| <ul style="list-style-type: none"><li>• EXAMINER: Initial if Reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.</li><li>•</li></ul> |    |  |      |                                  |       |                          |                               |